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APPLICATION NUMBER	FILING OR 371 (c) DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
10/748,690	12/30/2003	Jeffrey M. Gilbert	301496.1005-118

THOMAS O. HOOVER, ESQ.
 BOWDITCH & DEWEY, LLP
 161 Worcester Road
 P.O. Box 9320
 Framingham, MA 01701-9320



CONFIRMATION NO. 1996

FORMALITIES LETTER



OC000000012544485

Date Mailed: 05/06/2004

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

10/06/2004 LWONDIM1 00000067 10748690

01 FC:2001	395.00 OP
02 FC:2051	65.00 OP
03 FC:2201	44.00 OP
04 FC:2202	27.00 OP
05 FC:1999	7.00 OP

FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

Items Required To Avoid Abandonment:

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.
Applicant must submit \$ 770 to complete the basic filing fee for a non-small entity. If appropriate, applicant may make a written assertion of entitlement to small entity status and pay the small entity filing fee (37 CFR 1.27).
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.

The applicant needs to satisfy supplemental fees problems indicated below.

The required item(s) identified below must be timely submitted to avoid abandonment:

- Additional claim fees of \$176 as a non-small entity, including any required multiple dependent claim fee, are required. Applicant must submit the additional claim fees or cancel the additional claims for which fees are due.

SUMMARY OF FEES DUE:

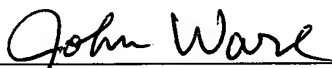
Total additional fee(s) required for this application is \$1076 for a Large Entity

- \$770 Statutory basic filing fee.
- \$130 Late oath or declaration Surcharge.
- Total additional claim fee(s) for this application is \$176

- \$86 for 1 independent claims over 3.
- \$90 for 5 total claims over 20.

Replies should be mailed to: Mail Stop Missing Parts
Commissioner for Patents
P.O. Box 1450
Alexandria VA 22313-1450

A copy of this notice MUST be returned with the reply.



Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE



PATENT APPLICATION
Docket No.: 301496.1005-118

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicants: Alice M. Chiang, Jeffrey M. Gilbert and Steven R. Broadstone
Application No.: 10/748,690 Filed Date: December 30, 2003
Confirmation No.: 1996 Group Art: 3662 Examiner: Not Assigned
For: Integrated Portable Ultrasound Imaging System

CERTIFICATE OF MAILING	
I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as First Class Mail in an envelope addressed to Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450	
on <u>September 29, 2004</u>	<u>Mary P. McDermott</u>
Date	Signature
<u>MARY P. McDERMOTT</u>	
Typed or printed name of person signing certificate	

REPLY TO NOTICE TO FILE MISSING PARTS OF APPLICATION

Mail Stop Missing Parts
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

10/06/2004 LWONDIM1 00000066 10748690

01 FC:2253 Sir: 475.00 DP

In reply to the Notice to File Missing Parts dated May 6, 2004, the following documents and fees are being submitted for filing in the captioned application. A copy of the Notice is attached.

- ☐ **EXECUTED DECLARATION/POWER OF ATTORNEY**
(Separate transmittal letter and postcard not required)
- ☒ **FILING FEE** – with Fee Transmittal for Patent Applications in duplicate.
(Separate transmittal letter and postcard not required)
- ☒ **SURCHARGE** – surcharge fee of \$65 (small entity).
(Separate transmittal letter and postcard not required)
- ☐ **SEQUENCE LISTING** – Filed concurrently and is attached.
(Separate transmittal letter and postcard not required)
- ☐ **TRANSMITTAL OF REPLACEMENT SHEETS OF DRAWINGS**
☐ Sheets of replacement drawings consisting of Figs. 1 – ☐ are enclosed. Drawings are being presented in a formal format as replacement drawings. No new subject matter is being introduced.
(Separate transmittal letter and postcard not required)
- ☒ **PRELIMINARY AMENDMENT** – Filed concurrently and is attached.

☒ **INFORMATION DISCLOSURE STATEMENT**

(Separate IDS required. Separate postcard not required)

☐ Copies of Cited References

☒ 3 Sheets form PTO-1449

☒ **PETITION FOR EXTENSION OF TIME**

☒ Applicant hereby petitions to extend the time to respond to the Notice to File Missing Parts dated May 6, 2004 for three months from July 6, 2004 to October 6, 2004.

The appropriate fee of \$475.00 is included in the enclosed check.

☐ A months extension of time to respond to the Notice to File Missing Parts dated was filed on with payment of a \$ fee.

☐ Applicant hereby petitions for an additional month extension of time to respond to the Notice to File Missing Parts. The appropriate fee of \$ is included in the enclosed check.

(Separate transmittal letter and postcard not required)

☐ **REQUEST FOR CORRECTED FILING RECEIPT** – Filed concurrently and is attached.

(Separate transmittal letter and postcard not required)

☒ **STATEMENT CLAIMING SMALL ENTITY STATUS**

☐ Was filed on .

☒ Is enclosed herewith.

(Separate transmittal letter and postcard not required)

☐ In view of the small entity status of the captioned application, we hereby request a reimbursement of 50% of the filing fees in the amount of \$ which were paid on to be deposited in Deposit Account No.50-1935.

The fees required for filing the indicated documents are enclosed in the form of a check in the total amount of \$1,013.00. Please charge any deficiency or credit any overpayment in the fees that may be due in this matter to Deposit Account No. 50-1935. A copy of this letter is enclosed for accounting purposes.

Respectfully submitted,

BOWDITCH & DEWEY, LLP

By Thomas O. Hoover

Thomas O. Hoover

Date: September 29, 2004

Registration No. 32,470

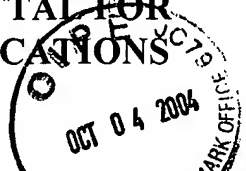
Direct tel.: (508) 416-2475

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Bowditch & Dewey, LLP
161 Worcester Road
P.O. Box 9320
Framingham, Massachusetts 01701-9320

BOWDITCH & DEWEY, LLP

FEE TRANSMITTAL FOR PATENT APPLICATIONS 	Attorney Docket Number	301496.1005-118
	Application Number	10/748,690
	Confirmation Number	1996
	First Named Inventor	Alice M. Chiang

CLAIM CALCULATION (includes New & Secondary amendment)

CLAIMS	(1) FOR	(2) NUMBER FILED	(3) NUMBER EXTRA	(4) RATE	(5) CALCULATIONS
	TOTAL CLAIMS (37 CFR 1.16(b) or (j))	25- 20* =	5	x \$ 18 =	\$ 90
	INDEPENDENT CLAIMS (37 CFR 1.16(b) or (j))	4- 3** =	1	x \$ 86 =	\$ 86
	MULTIPLE DEPENDENT CLAIMS (if applicable) (37 CFR 1.16(d))			+ \$ 290 =	\$
				BASIC FEE (37 CFR 1.16(a) or (h))	\$ 770
				Total of above Calculations =	\$ 946
	Reduction by 50% for filing by small entity (37 CFR 1.9, 1.27, 1.28) =				\$ 473
				TOTAL =	\$ 473
	Surcharge – Late Filing of Declaration or Filing Fees (37 C.F.R. 1.16(e)) =				\$ 65
	Petition for Extension of Time Fee (37 C.F.R. 1.17) =				\$ 475
	Assignment Recordation Fee = (only when filed with application)				\$
	* Reissue claims in excess of 20 and over original patent				
	** Reissue independent claims over original patent				
				TOTAL =	\$ 1,013

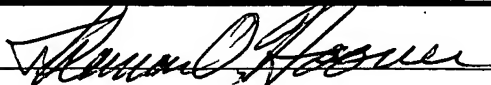

1. Small entity status:

- a. ☒ A small entity statement is enclosed.
- b. ☐ A small entity statement was filed in the prior non-provisional application and such status is still proper and desired.
- c. ☐ is no longer claimed.

2. ☒ A general authorization is hereby granted to charge deposit account number 50-1935 for any fees required under 37 CFR §1.16 and §1.17 in order to maintain pendency of this application.
A copy of this authorization is enclosed for accounting purposes.

3. ☒ A check is enclosed for \$ 1,013.00. ☐ Please charge \$[] to Deposit Account No.

4. ☐ Other: _____

Signature		Date	
Submitted by Typed or Printed Name	Thomas O. Hoover	Reg. Number	37,470